

#5/A  
WTH  
10/1/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

In re Patent Application of: **Brian Kim, et al.**

SEP 26 2003

App. Ser. No.: **09/844,040**

Group Art Unit: 2172

Technology Center 2100

Filed: April 27, 2001

Examiner: Chen, Chongshan

Title: **Apparatus and Method that Categorize a Collection of Documents into a Hierarchy of Categories that are Defined by the Collection of Documents**

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted via facsimile to 703-746-7239, Group Art Unit 2172, Commissioner for Patents, Alexandria, VA on September 26, 2003.

By

  
Cora Baliton

AMENDMENT AND RESPONSE TO OFFICE ACTION

Mail Stop: Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This is a response to the Office Action mailed June 26, 2003. Applicants file this response within the 3-month shortened statutory period for reply as set by the Examiner.

*Please amend the application as follows:*

FROM  
Intellectual Property Group of  
Pillsbury Winthrop LLP  
Attorneys at Law  
2550 Hanover Street  
Palo Alto, CA 94304-1115

Telephone: (650) 233-4500

Our Facsimile #: (650) 233-4545

FACSIMILE TRANSMISSION

RECEIVED

SEP 26 2003

Technology Center 2100

TO: UNITED STATES PATENT AND TRADEMARK OFFICE

FACSIMILE #: (703) 746-7239

No. Pages (Including this page) 18 FAX Opr: Cora Baliton

IF YOU DO NOT RECEIVE CLEARLY ALL PAGES, PLEASE CONTACT US IMMEDIATELY  
By Telephone AT: (650)233-4500

->->->

USPTO:

PLEASE ACKNOWLEDGE CLEAR RECEIPT OF ALL PAGES INDICATED  
ABOVE BY FAXING THIS PAGE BACK TO ONE OF OUR FACSIMILE  
NUMBERS STATED ABOVE

In re PATENT APPLICATION of  
Inventor(s) Brian Kim et al.  
Appln. No. 09/844,040

Group Art Unit: 2172  
Examiner: Chen, Chongshan

series code ↑ serial no.  
Filed: April 27, 2001

Atty. Dkt. PM 53684/0300105  
M#

TITLE: Apparatus and Method that  
Categorize a Collection of  
Documents into a Hierarchy of  
Categories that are Defined  
by the Collection of  
Documents

Date: September 26, 2003

Name or type of signed paper being transmitted:

Amendment and Response to Office Action

MESSAGE:

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent  
and Trademark Office on the date shown below.

Name Cora Baliton

Sig. C Baliton

Date September 26, 2003

53684/0300105

C# / M#